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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Steven P. Levi et al.

Title: ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS

Attorney Docket No.: 777.086US3

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231



We are transmitting herewith the following attached items and information (as indicated with an "X"):

- ☒ **DIVISIONAL** of prior Patent Application No. 08/813,151 (under 37 CFR § 1.53(b)) comprising:
- ☒ Specification ( 25 pgs, including claims numbered 1 through 42 and a 1 page Abstract).
  - ☒ Formal Drawing(s) ( 24 sheets).
  - ☒ Copy of signed Combined Declaration and Power of Attorney ( 3 pgs) from prior application.
  - ☒ Incorporation by Reference: *The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.*
  - ☒ Check in the amount of \$924.00 to pay the filing fee.
- ☒ Prior application is assigned of record to Microsoft Corporation.
- ☒ Information Disclosure Statement ( 1 pgs), Form 1449 ( 2 pgs). References NOT enclosed, cited in prior application.
- ☒ Preliminary Amendment ( 2 pgs).
- ☒ Return postcard.

The filing fee has been calculated below as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	15 - 20 =	0	x 18 =	\$0.00
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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Steven P. Levi et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Herewith	Docket:	777.086US3
Title:	ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS		

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**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Before taking up the above-identified application for examination, please amend it as follows:

**IN THE SPECIFICATION**

On page 5, line 31, please replace “UUDI” with –“Universal Unique Identifier (UUID)”–.

On page 1, line 2, please insert the sentence --This application is a divisional of U.S. Serial No. 08/813,151, filed March 7, 1997, which we claim the benefit of the provisional application U.S. Serial No. 60/013,029, filed March 8, 1996, and the provisional application U.S. Serial No. 60/028,789, filed October 21, 1996.--

**IN THE CLAIMS**

Please cancel claims 1-16, 27-36 and 41 without prejudice.

PRELIMINARY AMENDMENT

Serial Number: Unknown

Filing Date: Herewith

Title: ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS

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**REMARKS**

Claims 1-16, 27-36 and 41 are canceled, hereby, claims 17-26, 37-40 and 42 are now pending in the application. Currently claims 17-26, 37-40 and 42 are pending in the application. The applicant will file additional claims in a Supplemental Preliminary Amendment. If the Examiner begins the examination without receiving the Supplemental Preliminary Amendment, it is respectfully requested that the Examiner contact the below signed attorney to receive a copy of the Amendment.


Respectfully submitted,

STEVEN P. LEVI ET AL.

By their Representatives,

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## ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS

### TECHNICAL FIELD

The present invention relates generally to data processing systems and  
5 more particularly to an active stream format for holding multiple media streams.

### BACKGROUND OF THE INVENTION

Conventional file and/or stream formats for transmitting multiple data  
streams of varying media are limited in several respects. First, these formats are  
10 generally limited in the packet sizes that are available for encapsulating data. Such  
formats, if they specify packets, specify the packets as a given fixed size. Another  
limitation of such formats is that they do not facilitate the use of error correction codes.  
A further weakness of these conventional formats is that they do not provide flexibility  
in timing models for rendering the data encapsulated within the format. An additional  
15 limitation with such formats is that they are not well adapted for different transport  
mediums that have different levels of reliability and different transmission capabilities.

### SUMMARY OF THE INVENTION

In accordance with a first aspect of the present invention, a computer  
20 system has a logical structure for encapsulating multiple streams of data that are  
partitioned into packets for holding samples of data from the multiple data streams. A  
method of incorporating error correction into the logical structure is performed on the  
computer system. In accordance with this method, a portion of at least one packet is  
designated for holding error correcting data. The error correcting data is then stored in  
25 the designated portion of the packet.

In accordance with another aspect of the present invention, multiple  
streams of data are stored in packets and error correcting data is stored in at least some  
of the packets. The packets are encapsulated into a larger stream and information  
regarding what error correcting methods are employed for the packets is also stored in  
30 the packets.

In accordance with yet another aspect of the present invention, samples of data from multiple data streams are stored in packets, and replicas of information are stored in at least some of the packets. A flag is set in each of the packets that holds replicas to indicate that the packets hold the replicas. The packets are encapsulated into a larger logical structure and transmitted to a destination.

In accordance with a further aspect of the present invention, a logical structure is provided for encapsulating multiple streams of data where the streams of data are stored in packets. Clock licenses that dictate advancement of a clock are stored in multiple ones of the packets. The logical structure is transmitted from a source computer to a destination computer. The clock is advanced at the destination computer as dictated by the clock license for each packet that holds a clock license in response to the receipt or processing of the packet at the destination computer.

In accordance with an additional aspect of the present invention, a stream format is provided for encapsulating multiple streams of data. The stream format includes a field for specifying a packet size for holding samples of the multiple streams of data. In a logical structure that adopts the stream format, a value is stored in the field that corresponds to the desired packet size. Packets of the desired size are stored within the logical structure and the logical structure is transmitted over a transport medium to the destination.

In accordance with a further aspect of the present invention, a stream format is provided for encapsulating multiple streams of data. A field is included in a logical structure that adopts the stream format for holding a value that specifies a maximum bit rate at which the multiple streams may be rendered at the destination. A value is stored in the field and the logical structure is transmitted over a transport medium to a destination.

In accordance with another aspect of the present invention, a stream format is provided for encapsulating multiple data streams and a new media type is dynamically defined. An identifier of the media type is stored in a logical structure that adopts the stream format and packets of the new media type are stored in the logical structure.

## BRIEF DESCRIPTION OF THE DRAWINGS

A preferred embodiment of the present invention will be described below relative to the following drawings.

5           Figure 1 is a block diagram illustrating a computer system, that is suitable for practicing the preferred embodiment of the present invention.

Figure 2 is a flowchart illustrating use of the ASF stream in accordance with a preferred embodiment of the present invention.

10           Figure 3 is a block diagram illustrating the components of the ASF stream.

Figure 4 is a block diagram illustrating the format of the header\_object.

Figure 5 is a block diagram illustrating the format of the properties\_object.

15           Figure 6A is a flowchart illustrating the steps that are performed to fill in packet size fields within the ASF stream.

Figure 6B is a diagram illustrating different packet sizes and respective ASF streams.

Figure 7 is a block diagram illustrating the format of the stream\_properties\_object.

20           Figure 8 is a diagram that illustrates the partitioning of a sample for storage in multiple packets.

Figure 9 is a diagram that illustrates the format of the content\_description\_object.

Figure 10A is a diagram illustrating the format of the marker\_object.

25           Figure 10B is a diagram illustrating the format of a marker entry.

Figure 11 is a diagram illustrating the format of the error\_correction\_object.

30           Figure 12 is flowchart illustrating the steps that are performed to utilize error correcting information in accordance with a preferred embodiment of the present invention.

Figure 13 is a diagram illustrating format of the clock\_object.

Figure 14A is a diagram illustrating the format of the script\_command\_object.

Figure 14B is a diagram illustrating the format of a type\_names\_struct.

5 Figure 14C is a diagram illustrating the format of a command\_entry.

Figure 15A is a diagram illustrating the format of the codec\_object.

Figure 15B is a diagram of a CodecEntry.

Figure 16 is a diagram illustrating the format of the data\_object.

Figure 17 illustrates the format of a packet.

10 Figure 18A illustrates a first format that the initial\_structure may assume.

Figure 18B illustrates a second format that the initial\_structure may assume.

Figure 19 illustrates the format of a payload\_struct.

15 Figure 20 is a diagram illustrating the format of the index\_object.

## DETAILED DESCRIPTION OF THE INVENTION

The preferred embodiment of the present invention employs an active stream format (ASF) for holding multiple media streams. ASF is well suited for storage of multimedia streams as well as transmission of multiple media streams over a transport medium. ASF is constructed to encapsulate diverse multimedia streams and facilitates optimal interleaving of respective media streams. ASF specifies the packetization of data and provides flexibility in choosing packet sizes. In addition, ASF enables the specification of a maximum data transmission rate. As such, the packetization and transmission of media streams may be tailored to facilitate the bandwidth limitations of the system on which media streams are stored or transmitted.

ASF facilitates the use of error correction and error concealment techniques on the media streams. In unreliable transport mediums, such error correction and error concealment is highly beneficial. ASF is independent of media types and is extensible to handle newly defined media types. ASF supports flexible timing approaches and allows an author of an ASF stream to specify the

synchronization of events. ASF supports synchronized rendering using a variety of synchronization clock types and provides index information which can be used as markers for lookup to provide playback features such as fast forward and fast reverse.

Figure 1 is a block diagram of an illustrative system for practicing the preferred embodiment of the present invention. Figure 2 is a flowchart that illustrates the steps that are performed in the illustrative embodiment of Figure 1. An ASF stream 16 is built by an author (step 20 in Figure 2) and stored on a storage 14 on a source computer 10. As will be described in more detail below, ASF allows the author to design the stream for a most efficient storage based on the type of source computer 10 on which it is stored. Sometime later, the ASF stream 16 is transferred over a transport media 17, such as a network connection, to a destination computer 12 (step 24 in Figure 2). The destination computer 12 includes a number of renderers 18 for rendering the media types that are present within the ASF stream 16. For example, the ASF stream 16 may include audio-type data and video-type data. The renderers 18 at the destination 12 include an audio renderer and a video renderer. The renderers may begin rendering data as soon as they receive data prior to the complete transmission of the entire ASF stream 16 (see step 26 in Figure 2). The renderers need not immediately render the data, but rather may render the data at a later point in time.

Figure 3 depicts the basic logical organization of an ASF stream 16. It is up to the author to fill in the contents of the ASF stream in accordance with this format. The ASF stream 16 is divisible into a header section 28, a data section 30 and an index section 49. In general, the header section is first transmitted from the source computer 10 to the destination computer 12 so that the destination computer may process the information within the header section. Subsequently, the data section 30 is transmitted from the source computer 10 to the destination computer 12 on a packet-by-packet basis and the index section 49 is transmitted. The header section 28 includes a number of objects that describe the ASF stream 16 in aggregate. The header section 28 includes a header\_object 32 that identifies the beginning of the ASF header section 28 and specifies the number of objects contained within the header section. Figure 4 depicts the format of the header\_object 32 in more detail. The header\_object 32 includes an object\_id field 50 that holds a UUID for the header\_object. The UUID is an identifier.



The header\_object 32 also includes a size field 52 that specifies a 64-bit quantity that describes the size of the header section 28 in bytes. The header\_object 32 additionally includes a number\_headers field 54 that holds a 32-bit number that specifies a count of the objects contained within the header section that follow the header\_object 32. An alignment field 55 specifies packing alignment of objects within the header (e.g., byte alignment or word alignment). The architecture field 57 identifies the computer architecture type of the data section 30 at the index section 49. The architecture field 57 specifies the architecture of these sections as little endian or big endian.

The header\_object 32 is followed in the header section 28 by a properties\_object 34, such as depicted in Figure 5. The properties\_object 34 describes properties about the ASF stream 16. As can be seen in Figure 5, the properties\_object 34 includes an object\_id field 56 that holds a UUID and a size field 58 that specifies the size of the properties\_object 34. The properties\_object 34 also includes a multimedia\_stream\_id field 60 that contains a UUID that identifies a multimedia ASF stream. A total\_size field 62 is included in the properties\_object 34 to hold a 64-bit value that expresses the size of the entire ASF multimedia stream.

The properties\_object 34 also holds a created field 64 that holds a timestamp that specifies when the ASF stream was created. A num\_packet field 65 holds a 64-bit value that defines the number of packets in the data section 30. A play\_duration field 66 holds a 32-bit number that specifies the play duration of the entire ASF stream in 100-nanosecond units. For example, if the ASF stream 16 holds a movie, the duration field 66 may hold the duration of the movie. The play\_duration field 66 is followed by a send\_duration field 67 that corresponds to send the ASF stream in 100-nanosecond units. A preroll field 68 specifies the amount of time to buffer data before starting to play, and the flags field 70 holds 32-bits of bit flags.

The properties\_object 34 includes a min\_packet\_size field 72 and a max\_packet\_size field 74. These fields 72 and 74 specify the size of the smallest and largest packets 48 in the data section 30, respectively. These fields help to determine if the ASF stream 16 is playable from servers that are constrained by packet size. For constant bit rate streams, these values are set to have the same values. A

maximum\_bit\_rate field 76 holds a value that specifies the maximum instantaneous bit rate (in bits per second) of the ASF stream.

Figure 6A is a flowchart illustrating how these values are identified and assigned during authoring of the ASF stream 16. First, the size of the smallest packet in the data section 30 is identified (step 78 in Figure 6A). The size of the smallest packet is stored in the min\_packet\_size field 72 (step 80 in Figure 6A). The size of the largest packet in the data section 30 is identified (step 82 in Figure 6A), and the size is assigned to the max\_packet\_size field 74 (step 84 in Figure 6A).

One of the beneficial features of ASF is its ability for facilitating different packet sizes for data of multiple media streams. Figure 6B shows one example of two different streams 83 and 85. In stream 83, each of the packets is chosen to have a size of 512 bytes, whereas in stream 85 each of the packets 48 holds 256 bytes. The decision as to the size of the packets may be influenced by the speed of the transport mechanism over which the ASF stream is to be transmitted, the protocol adopted by the transport medium, and the reliability of the transport medium.

As mentioned above, the properties\_object 34 holds a value in the maximum\_bit\_rate field 76 that specifies an instantaneous maximum bit rate in bits per second that is required to play the ASF stream 16. The inclusion of this field 76 helps to identify the requirements necessary to play the ASF stream 16.

The header section 28 (Figure 3) must also include at least one stream\_properties\_object 36. The stream\_properties\_object 36 is associated with a particular type of media stream that is encapsulated within the ASF stream 16. For example, one of the stream\_properties\_objects 36 in the header section 28 may be associated with an audio stream, while another such object is associated with a video stream. Figure 7 depicts a format for such stream\_properties\_objects 36. Each stream\_properties\_object 36 includes an object\_id field 86 for holding a UUID for the object and a size field 88 for holding a value that specifies the size of the object in bytes. A stream\_type field 90 holds a value that identifies the media type of the associated stream.

The stream\_properties\_object 36 holds at least three fields 92, 98 and 104 for holding information relating to error concealment strategies. In general, ASF

facilitates the use of error concealment strategies that seek to reduce the effect of losing information regarding a given sample of media data. An example of an error concealment strategy is depicted in Figure 8. A sample 106 is divided into four sections  $S_1$ ,  $S_2$ ,  $S_3$  and  $S_4$ . When the sample is incorporated into packets in the ASF stream, the samples are distributed into separate packets  $P_1$ ,  $P_2$ ,  $P_3$  and  $P_4$  so that if any of the packets are lost, the amount of data that is lost relative to the sample is not as great, and techniques, such as interpolation, may be applied to conceal the error. Each sample has a number of associated properties that describe how big the sample is, how the sample should be presented to a viewer, and what the sample holds. Since the loss of the property information could prevent the reconstruction of the sample, the properties information for the entire sample is incorporated with the portions of the sample in the packets.

The `error_concealment_strategy` field 92 holds a UUID that identifies the error concealment strategy that is employed by the associated stream. The `error_concealment_len` field 98 describes the number of bytes in an error concealment data block that is held in the `error_concealment_data` entries 104. The properties associated with the error concealment strategy are placed in the `error_concealment_data` entries 104. The number of entries will vary depending upon the error concealment strategy that is adopted.

The `stream_properties_object` 36 includes a `stream_number` field 100 that holds an alias to a stream instance. The `stream_properties_object` 36 also includes an offset field 94 that holds an offset value to the stream in milliseconds. This value is added to all of the timestamps of the samples in the associated stream to account for the offset of the stream with respect to the timeline of the program that renders the stream. Lastly, the `stream_properties_object` 36 holds a `type_specific_len` field 96 that holds a value that describes the number of bytes in the `type_specific_data` entries 102. The `type_specific_data` entries 102 hold properties values that are associated with the stream type.

The header section 28 (Figure 3) may also include a number of optional objects 38, 40, 42, 44, 45 and 46. These optional objects include a `content_description_object` 38 that holds information such as the title, author, copyright

information, and ratings information regarding the ASF stream. This information may be useful and necessary in instances wherein the ASF stream 16 is a movie or other artistic work. The content\_description\_object 38 includes an object\_id field 110 and a size field 112 like the other objects in the header section 28. A title\_len field 114 specifies the size in bytes of the title entries 119 that hold character data for the title of the ASF stream 16. An author\_len field 115 specifies the size in bytes of the author entries 120 which hold the characters that specify the author of the ASF stream 16. The copyright\_len field 116 holds the value that specifies the length in bytes of the copyright entries 121 that hold copyright information regarding the ASF stream 16. The description\_len field 117 holds a value that specifies the length in bytes of the description entries 122. The description entries 122 hold a narrative description of the ASF stream 16. Lastly, the rating\_len field 118 specifies a size in bytes of the rating entries 123 that hold rating information (*e.g.*, X, R, PG-13) for the ASF stream content.

The header section 28 may include a marker\_object 40. The marker\_object 40 holds a pointer to a specific time within the data section 30. The marker\_object enables a user to quickly jump forward or backward to specific data points (*e.g.*, audio tracks) that are designated by markers held within the marker\_object 40.

Figure 10A shows the marker\_object 40 in more detail. The marker\_object 40 includes an object\_id field 126 that holds a UUID, and a size field 128 specifies the size of the marker\_object in bytes. A marker\_id field 130 contains a UUID that identifies the marker data strategy, and a num\_entries field 132 specifies the number of marker entries in the marker\_object 40. An entry\_alignment field 134 identifies the byte alignment of the marker data, and a name\_len field 136 specifies how many Unicode characters are held in the name field 138, which holds the name of the marker\_object 40. Lastly, the marker\_data field 140 holds the markers in a table. Each marker has an associated entry in the table.

Figure 10B shows the format of a marker entry 141 such as found in the marker\_data field 140. An offset field 142 holds an offset in bytes from the start of packets in the data\_object 47 indicating the position of the marker entry 141. A time field 144 specifies a time stamp for the marker entry 141. An entry\_len field 146

specifies the size of an entry\_data field 148, which is an array holding the data for the marker entry.

The header section 28 may also include an error\_correction\_object 42 for an error correction method that is employed in the ASF stream. Up to four error  
 5 correction methods may be defined for the ASF stream 16 and, thus, up to four error\_correction\_objects 42 may be stored within the header section 28 of the ASF stream 16. Figure 11 depicts the format of the error\_correction\_object 42.

The error\_correction\_object 42 includes an object\_id field 150 and a size field 152, like those described above for the other objects in the header section 28. The  
 10 error\_correction\_object 42 also includes an error\_correction\_id 154 that holds UUID that identifies the error correcting methodology associated with the object 42. The error\_correction\_data\_len field 156 specifies the length in bytes of the error\_correction\_data entries 158 that hold octets for error correction. The error\_correction\_object 42 is used by the destination computer 12 (Figure 1) in playing  
 15 the ASF stream 16.

Figure 12 depicts a flowchart of how error correcting may be applied in the preferred embodiment of the present invention. In particular, an error correction methodology such as an N+1 parity scheme, is applied to one or more streams within the ASF stream 16 (step 160 in Figure 12). Information regarding the error correcting  
 20 methodology is then stored in the error\_correction\_object 42 within the header section 28 (step 162 in Figure 12). The source computer then accesses the error correcting methodology information stored in the error\_correction\_object 42 in playing back the ASF stream 16 (step 164 in Figure 12). Error correcting data is stored in the interleave\_packets 48.

25 The header section 28 of the ASF stream 16 may also hold a clock\_object 44 that defines properties for the timeline for which events are synchronized and against which multimedia objects are presented. Figure 13 depicts the format of the clock\_object 44. An object\_ID field 166 holds a UUID to identify the object, and a size field 168 identifies the size of the clock\_object 44 in bytes. A  
 30 packet\_clock\_type field 170 identifies the UUID of the clock\_type that is used by the object. A packet\_clock\_size field 172 identifies the clock size. A clock\_specific\_len

field 174 identifies the size and bytes of the `clock_specific_data` field 176 which contains clock-specific data. The clock type alternatives include a clock that has a 32-bit source value and a 16-bit duration value, a clock type that has a 64-bit source value and a 32-bit duration value and a clock type that has a 64-bit source value and a 64-bit duration value.

The ASF stream 16 enables script commands to be embedded as a table in the `script_command_object` 45. This object 45 may be found in the header section 28 of the ASF stream 16. The script commands ride the ASF stream 16 to the client where they are grabbed by event handlers and executed. Figure 14A illustrates the format of the `script_command_object` 45. Like many of the other objects in the header section 28, this object 45 may include an `object_ID` field 178 for holding a UUID for the object and a `size` field 180 for holding the size in bytes of the object. A `command_ID` field 182 identifies the structure of the command entry that is held within the object.

The `num_commands` field 184 specifies the total number of script commands that are to be executed. The `num_types` field 186 specifies the total number of different types of `script_command` types that have been specified. The `type_names` field 188 is an array of `type_names_struct` data structures. Figure 14B depicts the format of this data structure 192. The `type_name_len` field 194 specifies the number of Unicode characters in the `type_names` field 196, which is a Unicode string array holding names that specify script command types.

The `command_entry` field 190 identifies what commands should be executed at which point in the timeline. The `command_entry` field 190 is implemented as a table of script commands. Each command has an associated `command_entry` element 198 as shown in Figure 14C. Each such element 198 has a `time` field 200 that specifies when the script command is to be executed and a `type` field 202 that is an index into the `type_names` array 196 that identifies the start of a Unicode string for the command type. A `parameter` field 204 holds a parameter value for the script command type.

The script commands may be of a URL type that causes a client browser to be executed to display an indicated URL. The script command may also be of a file

name type that launches another ASF file to facilitate “continuous play” audio or video presentations. Those skilled in the art will appreciate that other types of script commands may also be used.

The header section 28 of the ASF stream 16 may also include a  
 5 codec\_object 46. The codec\_object 46 provides a mechanism to embed information about a codec dependency that is needed to render the data stream by that codec. The codec object includes a list of codec types (*e.g.*, ACM or ICM) and a descriptive name which enables the construction of a codec property page on the client. Figure 15A depicts the format of a codec\_object 46. The object\_id field 206 holds a UUID for the  
 10 codec\_object 46 and the size field 208 specifies the size of the object 46 in bytes. The codec\_ID field 210 holds a UUID that specifies the codec\_type used by the object. The codec\_entry\_len field 212 specifies the number of CodecEntry entries that are in the codec\_entry field 214. The codec\_entry field 214 contains codec-specific data and is an array of CodecEntry elements.

15 Figure 15B depicts the format of a single CodecEntry element 216 as found in the codec\_entry field 214. A type field 218 specifies the type of codec. A name field 222 holds an array of Unicode characters that specifies the name of the codec and a name\_len field 220 specifies the number of Unicode characters in the name field. The description field 226 holds a description of the codec in Unicode characters  
 20 and the description\_len field 224 specifies the number of Unicode characters held within the description field. The cbinfo field 230 holds an array of octets that identify the type of the codec and the cbinfo\_len field 228 holds the number of bytes in the cbinfo field 230.

As mentioned above, the data section 30 follows the header section 28 in  
 25 the ASF stream 16. The data section includes a data\_object 47 and interleave\_packets 48. A data\_object 47 marks the beginning of the data section 30 and correlates the header section 28 with the data section 30. The packets 48 hold the data payloads for the media stream stored within the ASF stream 16.

Figure 16 depicts the format of the data\_object 46. Like other objects in  
 30 the ASF stream 16, data\_object 46 includes an object\_id field 232 and a size field 234. The data\_object 46 also includes a multimedia\_stream\_id field 236 that holds a UUID

for the ASF stream 16. This value must match the value held in the multimedia\_stream\_id field 60 in the properties\_object 34 in the header section 28. The data\_object 46 also includes a num\_packets field 238 that specifies the number of interleave\_packets 48 in the data section 30. An alignment field 240 specifies the packing alignment within packets (*e.g.*, byte alignment or word alignment), and the packet\_alignment field 242 specifies the packet packing alignment.

Each packet 48 has a format like that depicted in Figure 17. Each packet 48 begins with an initial\_structure 244. The format of the initial\_structures 244 depends upon whether the first bit held within the structure is set or not. Figure 18A depicts a first format of the initial\_structure 244 when the most significant bit is cleared (*i.e.*, has a value of zero). The most significant bit is the error\_correction\_present flag 270 that specifies whether error correction information is present within the initial\_structure 244 or not. In this case, because the bit 270 is cleared, there is no error correction information contained within the initial\_structure 244. This bit indicates whether or not error correction is used within the packet. The two bits that constitute the packet\_len\_type field 272 specify the size of the packet\_len field 256, which will be described in more detail below. The next two bits constitute the padding\_len\_type field 274 and specify the length of the padding\_len field 260, which will also be discussed in more detail below. The next two bits constitute the sequence\_type field 276 and specify the size of the sequence field 258. The final bit is the multiple\_payloads\_present flag 278 which specifies whether or not multiple payloads are present within the packet. A value of 1 indicates that multiple media stream samples (*i.e.*, multiple payloads) are present within the packet.

Figure 18B depicts the format of the initial\_structure 244 when the error\_correction\_present bit is set (*i.e.*, has a value of 1). In this instance, the first byte of the initial\_structure 244 constitutes the ec\_flag field 280. The first bit within the ec\_flag field is the error\_correction\_present bit 270, which has been described above. The two bits that follow the error\_correction\_present bit 270 constitute the error\_correction\_len\_type field 284 and specify the size of the error\_correction\_data\_len field 290. The next bit constitutes the opaque\_data flag 286 which specifies whether opaque data exists or not. The final four bits constitute the



error\_correction\_data\_length field 288. If the error\_correction\_len\_type field 284 has a value of "00" then the error\_correction\_data\_length field 288 holds the error\_correction\_data\_len value and the error\_correction\_data\_len field 290 does not exist. Otherwise this field 288 has a value of "0000." When the error\_correction\_data\_len field 290 is present, it specifies the number of bytes in the error\_correction\_data array 292. The error\_correction\_data array 292 holds an array of bytes that contain the actual per-packet data required to implement the selected error correction method.

The initial\_structure 244 may also include opaque data 300 if the opaque\_data bit 286 is set. The initial structure includes a byte of flags 302. The most significant bit is a reserved bit 304 that is set to a value of "0." The next two bits constitute the packet\_len\_type field 306 that indicate the size of the packet\_len field 256. The next subsequent two bits constitute the padding\_len\_type field 272 that indicate the size of the padding\_len field 274. These two bits are followed by another 2-bit field that constitutes the sequence\_type of field 276 that specifies the size of the sequence field 258. The last bit is the multiple\_payloads\_present bit 278 that specifies whether are not multiple payloads are present.

The initial\_structure 244 is followed by a stream\_flag field 246 that holds a byte consisting of four 2-bit fields. The first two bits constitute a stream\_id\_type field 248 that specifies the size of the stream\_id field 314 within the payload\_struc 266. The second most significant bits constitute the object\_id\_type field 250 and indicate the number of bits in the object\_id field 316 of the payload\_struc 266 as either 0-bits, 8-bits, 16-bits or 32-bits. The third most significant two bits constitute the offset\_type field 252, which specifies the length of the offset field 318 within the payload\_struc 266 as either 0-bits, 8-bits, 16-bits or 32-bits. The least two significant bits constitute the replicated\_data\_type field 254 and these bits indicate the number of bits that are present for the replicated\_data\_len field 320 of the payload\_struc 266.

The packet 48 also includes a packet\_len field 256 that specifies the packet length size. The sequence field 258 specifies the sequence number for the packet. The padding\_len field 260 contains a number that specifies the number of

padding bytes that are present at the end of the packet to pad out the packet to a desirable size.

The packet 48 also contains a clock\_data field 262 that contains data representing time information. This data may include a clock license that contains a system clock reference that drives the progression of the time line under the timing model and a duration that specifies the effective duration of the clock license. The duration field limits the validity of the license to a time specified in milliseconds. Under the model adopted by the preferred embodiment of the present invention, the source computer 10 issues a clock license to the destination computer 12 that allows the clock of the destination computer 12 to progress forward for a period of time. The progression of time is gated by the arrival of a new piece of data that contains a clock value with a valid clock license that is not expired.

The packet 48 also includes a payload\_flag field 264 that specifies a payload length type and a designation of the number of payloads present in the packet. The payload\_flag field 264 is followed by one or more payload\_strucs 266. These structures contain payload information which will be described in more detail below. The final bits within the packet 48 may constitute padding 268.

Figure 19 depicts the payload\_struc 266 in more detail. The stream\_id field 314 is an optional field that identifies the stream type of the payload. The object\_id field 316 may be included to hold an object identifier. An offset field 318 may be included to specify an offset of the payload within the ASF stream. The offset represents the starting address within a zero-address-based media stream sample where the packet payload should be copied.

The payload\_struc 266 may also include a replicated\_data\_len field 320 that specifies the number of bytes of replicated data present in the replicated\_data field 322. As was discussed above, for protection against possible errors, the packet 48 may include replicated data. This replicated data is stored within the replicated\_data field 322.

The payload\_len field 323 specifies the number of payload bytes present in the payload held within the payload\_data field 325. The payload\_data field 326 holds an array of payloads (*i.e.*, the data).

The ASF stream may also include an `index_object` 49 that holds index information regarding the ASF stream 16. Figure 20 depicts the format of the `index_object` 49. The `index_object` includes a number of index entries. The `index_object` 49 includes an `object_id` field 324 and a `size` field 326. In addition, the

5 `index_object` 49 includes an `index_id` field 328 that holds a UUID for the index type. Multiple `index_name_entries` may be stored depending on the number of entries required to hold the characters of the name. For example, each entry may hold 16 characters in an illustrative embodiment.

The `index_object` includes a `time_delta` field 330 that specifies a time

10 interval between index entries. The time represents a point on the timeline for the ASF stream 16. A `max_packets` field 332 specifies a maximum value for `packet_count` fields, which will be described in more detail below. A `num_entries` field 334 is a 32-bit unsigned integer that describes the maximum number of index entries that are defined within the `index_info` array 336. This array 336 is an array of

15 `index_information` structures. Each `index_info` structure holds a `packet` field that holds a packet number associated with the index entry and a `packet_count` field specifies the number of the packet to send with the index entry so as to associate the index entries with the packets. In Figure 21, the `index_info` array structure 336 holds N `index_information` structures and each `index_information` structure has a `packet` field

20 338A-338N and a `packet_count` field 340A-340N.

While the present invention has been described with reference to a preferred embodiment thereof, those skilled in the art will appreciate that various changes in form and detail may be made without departing from the intended scope of

25 the invention as defined in the appended claims. For example, the present invention may be practiced with a stream format that differs from the format described above. The particulars described above are intended merely to be illustrative. The present invention may be practiced with stream formats that include only a subset of the above-described fields or include additional fields that differ from those described above.

30 Moreover, the length of the values held within the fields and the organization of the structures described above are not intended to limit the scope of the present invention.

## CLAIMS

1. In a computer system having a logical structure for encapsulating multiple streams of data that are partitioned into packets for holding samples of data from the multiple data streams, a method of incorporating error correction into the logical structure, comprising the steps of:

designating a portion of at least one packet for holding error correcting data;

and

storing error correcting data in the designated portion of at least one packet.

2. The method of claim 1, further comprising the step of using the error correcting data stored in the designated portion of at least one packet to correct an error.

3. The method of claim 1 wherein the error correcting data is stored in multiple packets.

4. The method of claim 1 wherein the error correcting data holds parity bits.

5. The method of claim 1 wherein packets holding samples of data from a first of the multiple streams holds a different type of error correcting data than packets holding samples of data from a second of the multiple streams.

6. The method of claim 1 wherein the logical structure includes a header that holds information regarding what error correcting methodology is used with the at least one packet that holds error correcting data.

7. The method of claim 1, further comprising the step of:  
transferring the packets across a transport medium to a destination computer.

8. The method of claim 1 wherein at least two of the multiple streams of data are of different media.

9. In a computer system, a method comprising the steps of:  
 storing data from multiple streams of data into packets;  
 storing error correcting data in at least some of the packets;  
 encapsulating the packets into a larger stream; and  
 storing information regarding what error correcting methods are employed for the packets in the larger stream.

10. The method of claim 9 wherein the larger stream includes a header and the information regarding the error correcting methods that are employed is stored in the header.

11. The method of claim 10 wherein multiple error correcting methods are employed.

12. The method of claim 11 wherein a separate object is stored in the header for each error correcting method to encapsulate the information regarding the error correcting method.

13. In a computer system that is coupled to a designation, a method comprising the steps of:

storing samples of data from multiple data streams in packets;  
 storing replicas of information in at least some of the packets;  
 setting a flag in the packets that hold the replicas to indicate that the packets hold replicas;  
 encapsulating the packets into a larger logical structure; and  
 transmitting the logical structure to the destination.

14. The method of claim 13 wherein the replicas of information hold property information regarding the samples of data.

15. The method of claim 13 wherein portions of a sample are stored in selected packets and a replica of property information regarding the sample is stored in each packet in which a portion of the sample is stored.

16. The method of claim 13, further comprising the step of examining one of the replicas of information at the destination when one of the packets is lost during the transmitting.

17. In a computer system having a source computer and a destination computer having a clock that regulates timing of activities at the destination computer, a method comprising the steps of:

providing a logical structure for encapsulating multiple streams of data, said streams of data being stored in packets;

storing clock licenses that dictate advancement of a clock in multiple ones of the packets;

transmitting the logical structure from the source computer to the destination computer; and

for each packet that holds a clock license, advancing the clock at the destination computer as dictated by the clock license in response to receiving the packet at the destination computer.

18. The method of claim 17 wherein each clock license includes a time value to which the clock at the destination computer is to be advanced.

19. The method of claim 18 wherein each clock license includes an expiration time after which the clock license is invalid.

20. In a distributed system having a computer system that is coupled to a destination via a transport medium, a method comprising the steps of:

- providing a stream format for encapsulating multiple streams of data;
- including a field in a logical structure that adopts the stream format for specifying a packet size for holding samples of the multiple streams of data;
- storing a value in the field that corresponds with a desired packet size;
- storing packets of the desired size within the logical structure that adopts the stream format; and
- transmitting the logical structure over the transport medium to the destination.

21. The method of claim 1 wherein the logical structure that adopts the stream format holds a field for a maximum packet size and a field for a minimum packet size.

22. The method of claim 20 wherein at least two of the multiple streams of data hold data of different media.

23. In a distributed system having a computer system that is connected with a destination via a transport medium, a method comprising the steps of:

- providing a stream format for encapsulating multiple streams of data;
- including a field in a logical structure that adopts the stream format for holding a value that specifies a maximum bit rate at which the multiple streams of data may be rendered at the destination;
- storing a value in the field; and
- transmitting the logical structure over the transport medium to the destination.

24. In a data processing system, a method comprising the steps of:

- providing a stream format for encapsulating multiple data streams;
- dynamically defining a new media type;
- storing an identifier of the new media type in a logical structure that adopts the stream format; and

storing packets of data of the new media type in the logical structure.

25. The method of claim 24 wherein the data processing system includes a transport medium and a target computer, further comprising the step of sending the logical structure over the transport medium to the target computer.

26. The method of claim 24 wherein a renderer for the new media type is stored at the target computer, wherein the logical structure includes a field that identifies a renderer and wherein the method further comprises the step of accessing the field in the logical structure that identifies the renderer at the target computer to determine what renderer to use to render data of new media type.

27. In a computer system having a logical structure for encapsulating multiple streams of data that are partitioned into packets for holding samples of data from the multiple streams of data, a computer-readable storage medium holding instructions for:

designating a portion of at least one packet for holding error correcting data;

and

storing error correcting data in the designated portion of at least one packet.

28. The computer-readable storage medium of claim 27 holding instructions that store the error correcting data in multiple packets.

29. The computer-readable storage medium of claim 27 wherein the medium holds instructions for encapsulating a first type of error correcting data for packets that hold samples from a first of the streams of data and encapsulating a second type of error correcting data for packets that hold samples from a second of the streams of data.

30. In a computer system, a computer-readable storage medium holding a logical structure that encapsulates multiple streams of data, said logical structure comprising:



packets of data from the multiple streams of data for transmission over a transport medium; and

error correcting data within at least some of the packets at designated locations.

31. The computer-readable storage medium of claim 30 wherein the logical structure further comprises a header in which information regarding an error correcting method that uses the error correcting data is stored.

32. The computer-readable storage medium of claim 31 wherein the header holds information regarding multiple error correcting methods.

33. The computer-readable storage medium of claim 30 wherein the multiple streams of data include at least two streams of different media.

34. In a computer system, a computer-readable storage medium holding instructions for:

receiving a logical structure that holds multiple streams of data wherein said streams of data include samples that are stored in packets in the logical structure and wherein at least some of the packets include error correcting data; and

extracting the error correcting data from at least some of the packets as needed to correct errors.

35. In a computer system, a computer-readable storage medium holding a logical structure that includes:

samples of data from multiple data streams in packets;

replicas of information in at least some of the packets; and

a flag in the packets that holds the replicas to indicate that the packets hold replicas.

36. The computer-readable storage medium of claim 35 wherein portions of a sample are stored in selected packets and a replica of property information regarding the sample is stored in each packet in which a portion of the sample is stored.

37. In a computer system, a computer-readable storage medium holding a logical structure that encapsulates:

multiple streams of data wherein the streams of data are stored in packets;

clock licenses that each dictate advancement of a clock that regulates rendering of the data in the packets.

38. The computer-readable storage medium of claim 37 wherein each clock license includes a time value to which the clock at the destination computer is to be advanced.

39. The computer-readable storage medium of claim 38 wherein each clock license includes an expiration time after which the clock license is invalid.

40. In a computer system that is coupled to a destination computer via a transport mechanism, a computer-readable storage medium holding a logical structure comprising:

multiple streams of data; and

a value in a field that specifies a maximum bit rate at which the multiple streams of data may be rendered at the destination computer.

41. A data processing system having:

a source computer with a storage;

a logical structure stored in storage for encapsulating multiple data streams, data from said data streams being incorporated in packets;

error correcting data encapsulated in the packets.

42. A data processing system having:

a source computer with a storage;

a logical structure stored in storage for encapsulating multiple data streams,  
data from said data streams being incorporated in packets;

a clock license being encapsulated into at least one packet for advancing a  
clock at a destination when processed at the destination.

# ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS

## ABSTRACT OF THE DISCLOSURE

An active stream format is defined and adopted for a logical structure that encapsulates multiple data streams. The data streams may be of different media. The data of the data streams is partitioned into packets that are suitable for transmission over a transport medium. The packets may include error correcting information. The packets may also include clock licenses for dictating the advancement of a clock when the data streams are rendered. The format of ASF facilitates flexibility and choice of packet size and in specifying maximum bit rate at which data may be rendered. Error concealment strategies may be employed in the packetization of data to distribute portions of samples to multiple packets. Property information may be replicated and stored in separate packets to enhance its error tolerance. The format facilitates dynamic definition of media types and the packetization of data in such dynamically defined data types within the format.

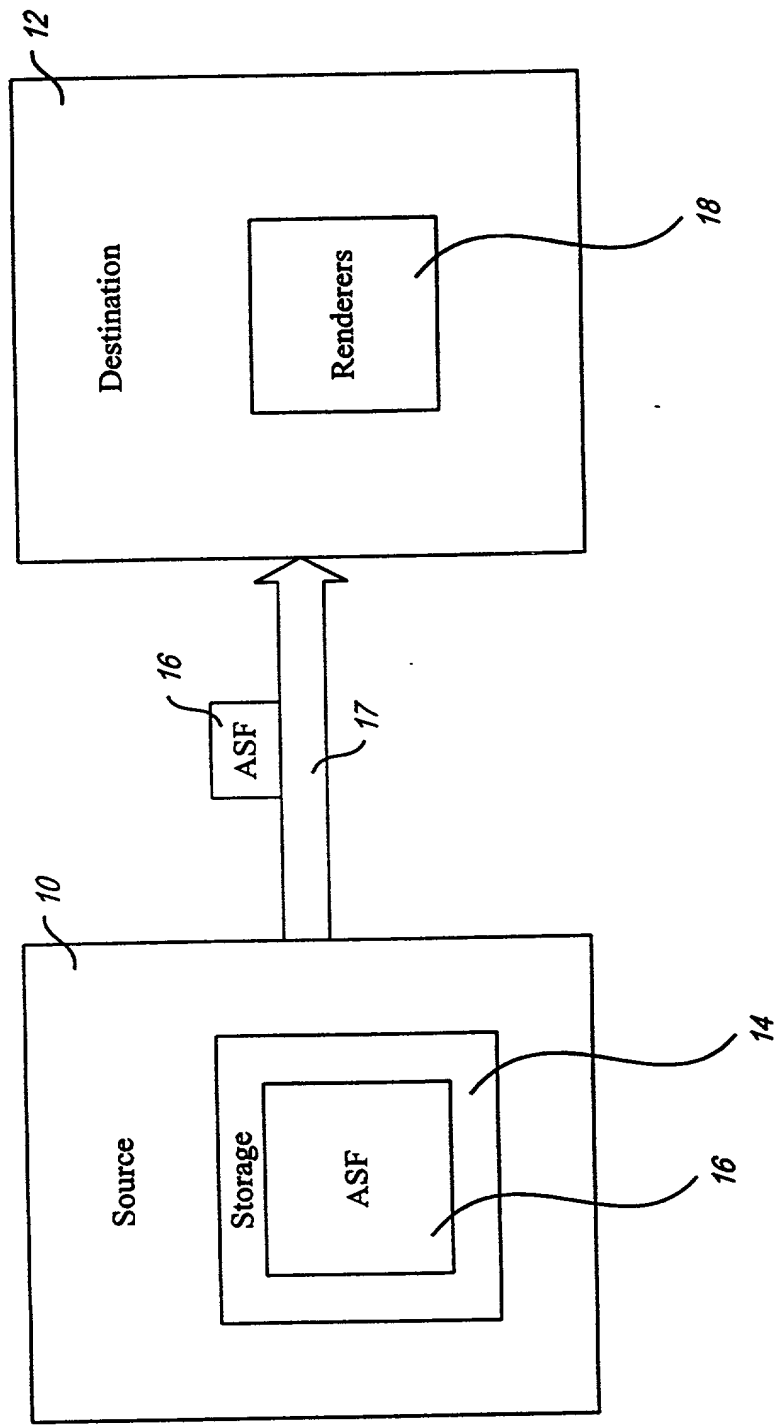
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Date of Deposit: February 22, 2000

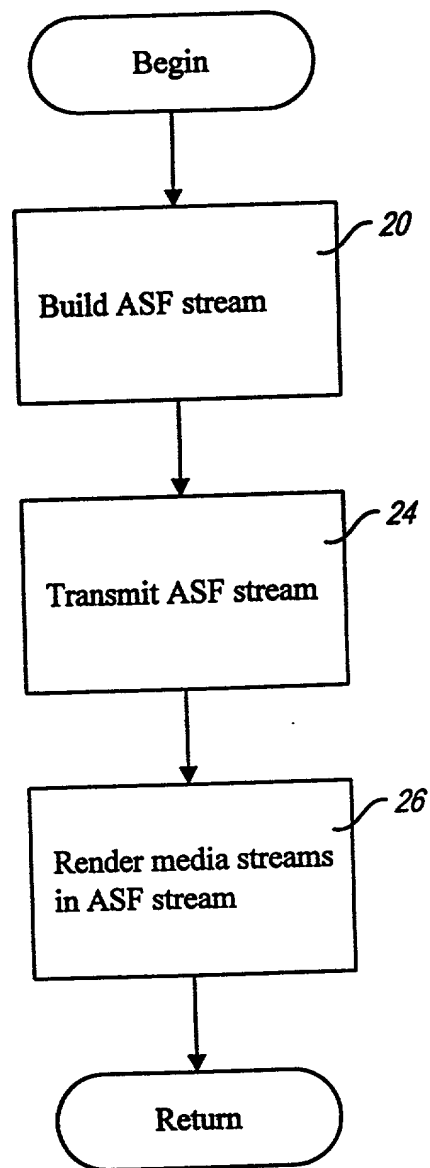
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Printed Name Sharon L. Nise

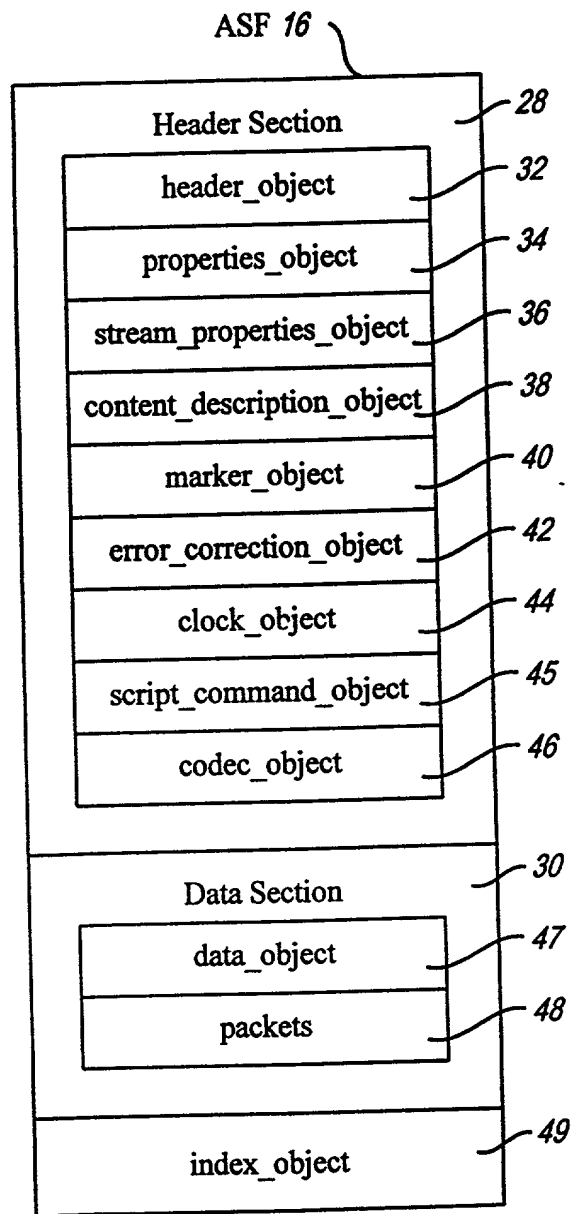
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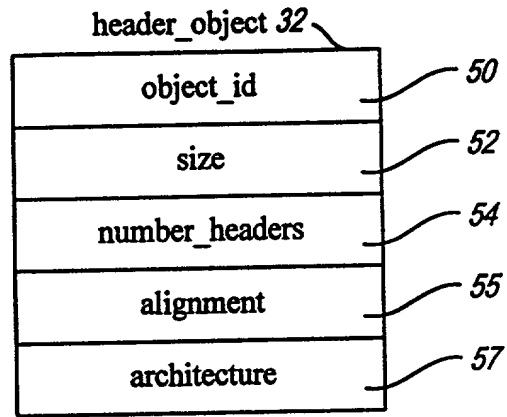
**Fig. 1**



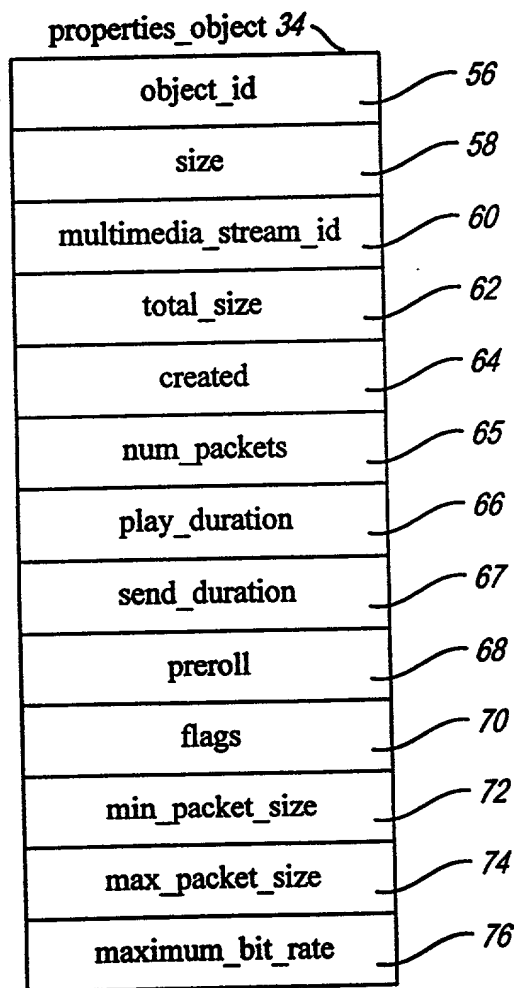
**Fig. 2**



**Fig. 3**

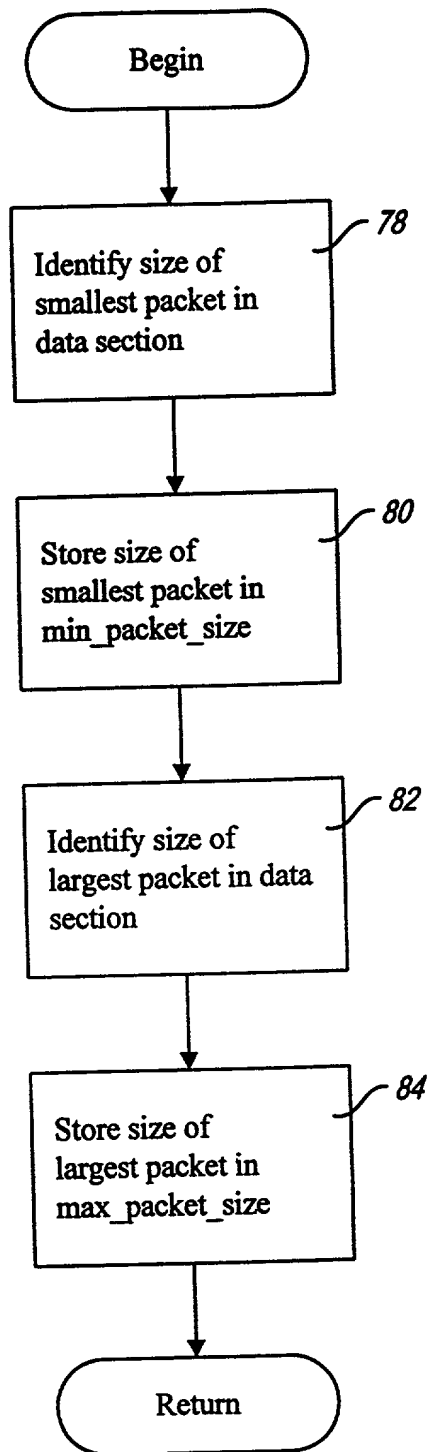


**Fig. 4**

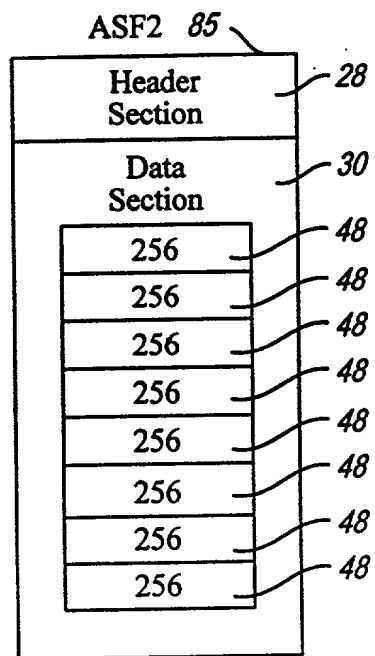
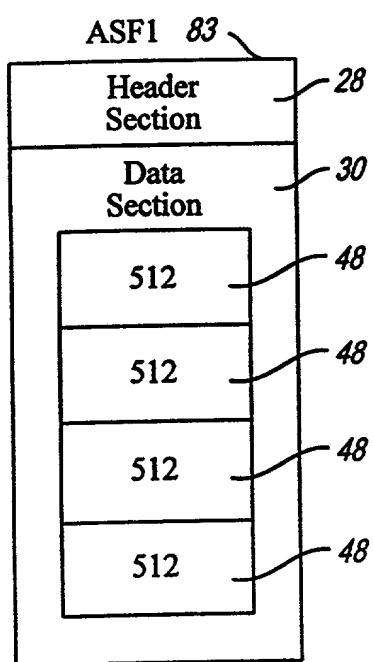


**Fig. 5**

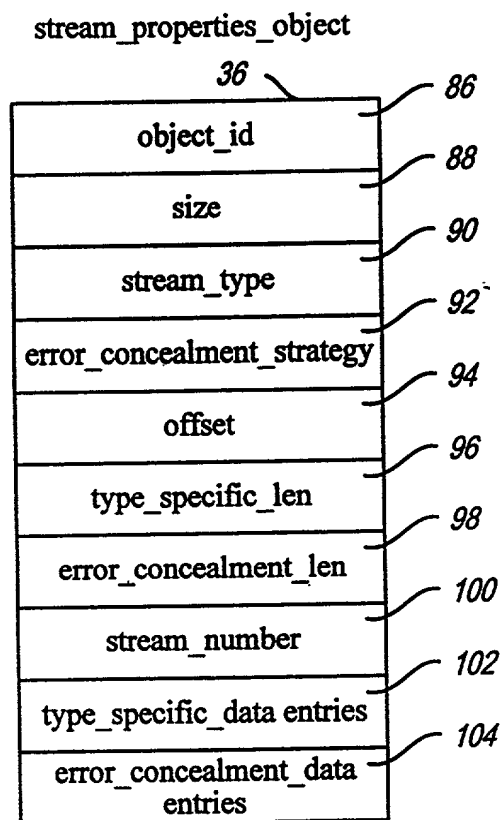




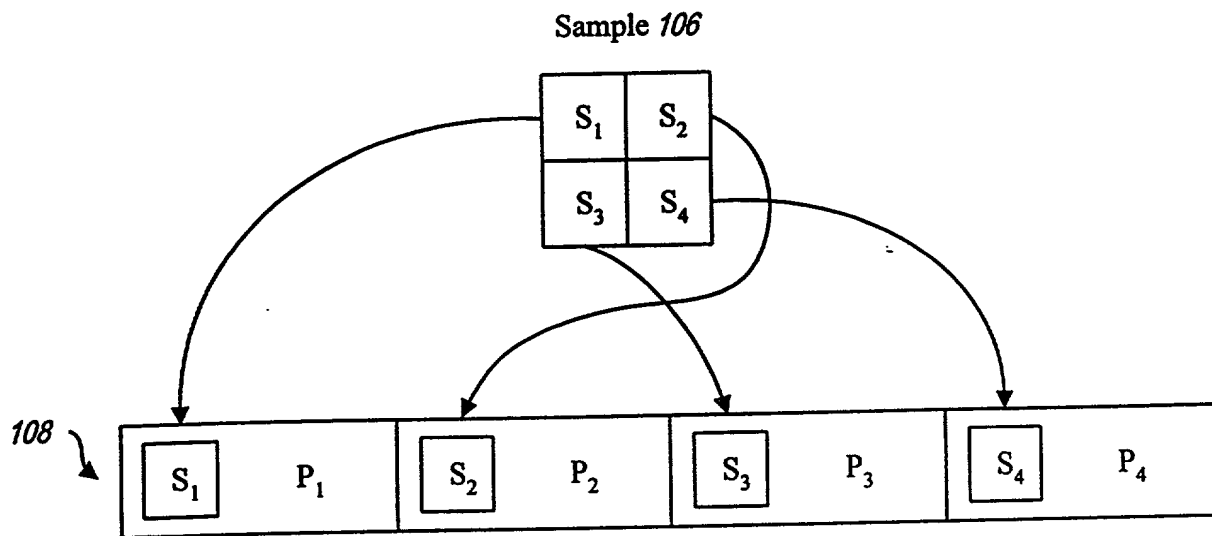
**Fig. 6A**



**Fig. 6B**

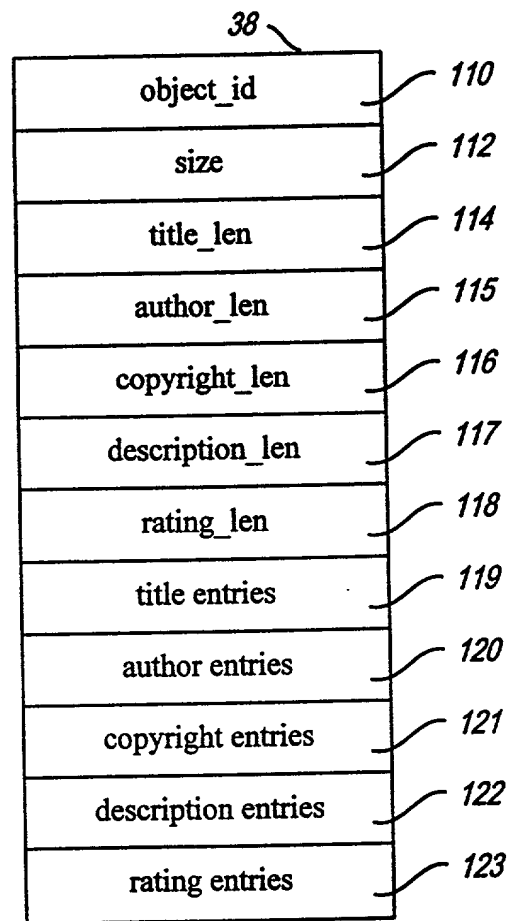


**Fig. 7**

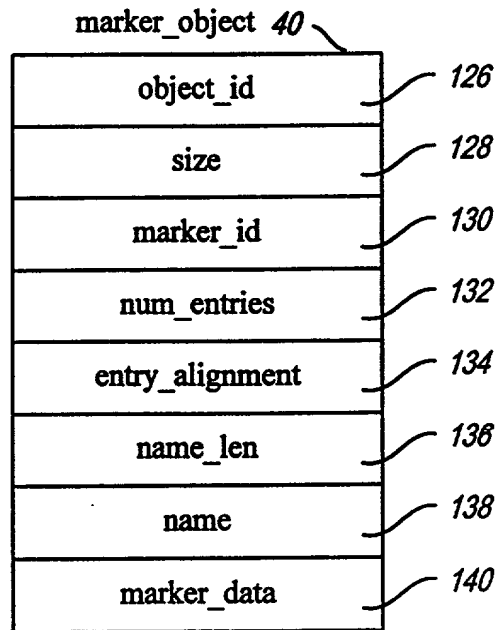


**Fig. 8**

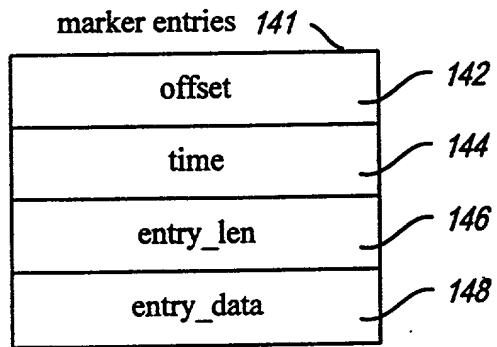
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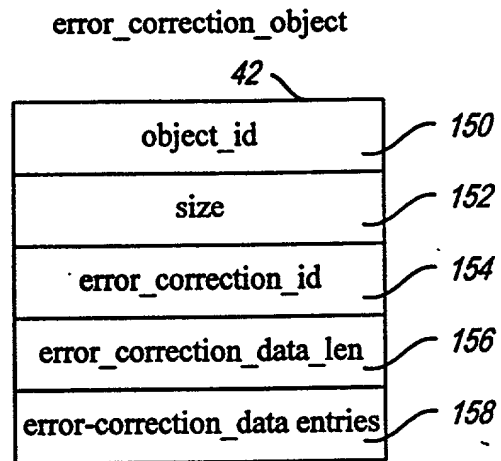
**Fig. 9**



***Fig. 10A***

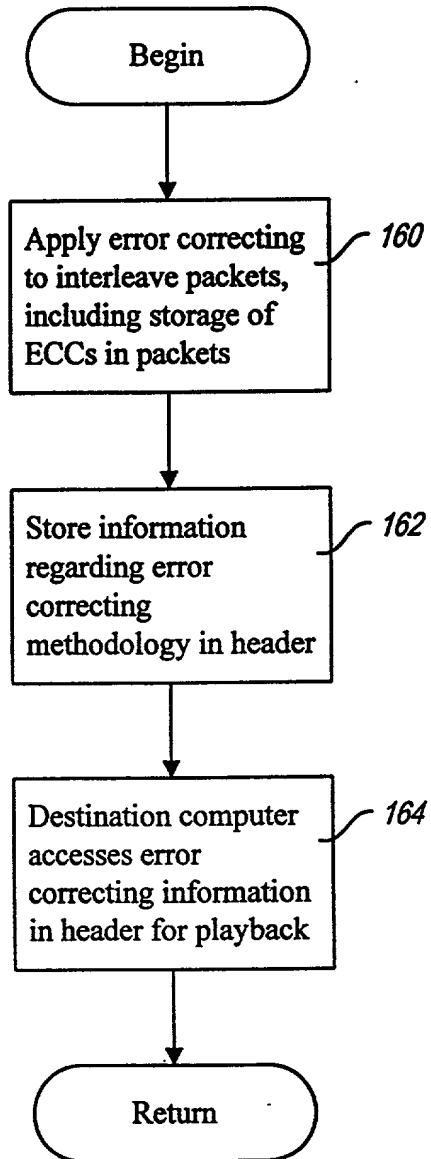


**Fig. 10B**

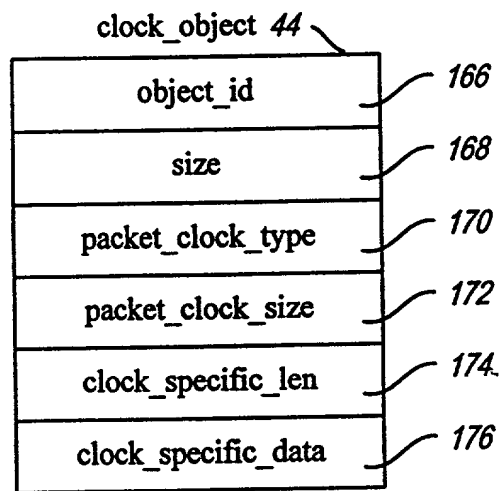


**Fig. 11**





**Fig. 12**



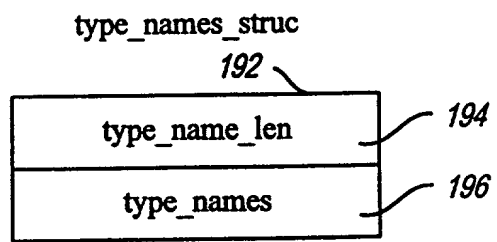
**Fig. 13**

script\_command\_object

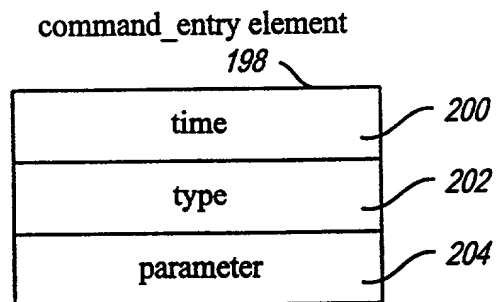
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object_id	178
size	180
command_id	182
num_commands	184
num_types	186
type_names	188
command_entry	190

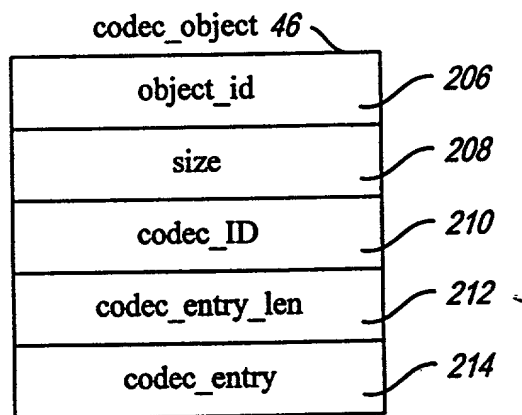
**Fig. 14A**



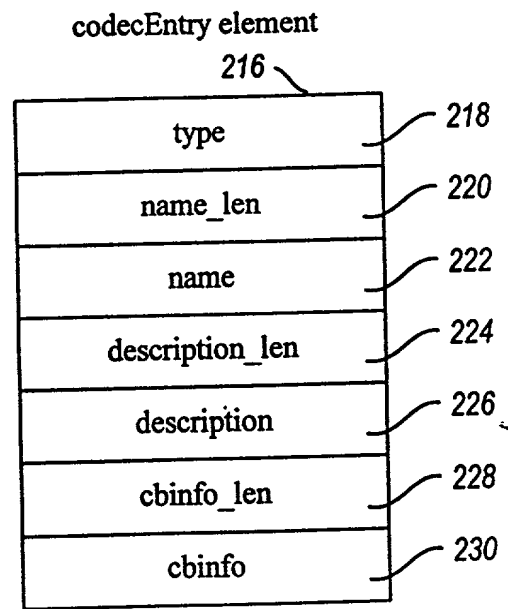
**Fig. 14B**



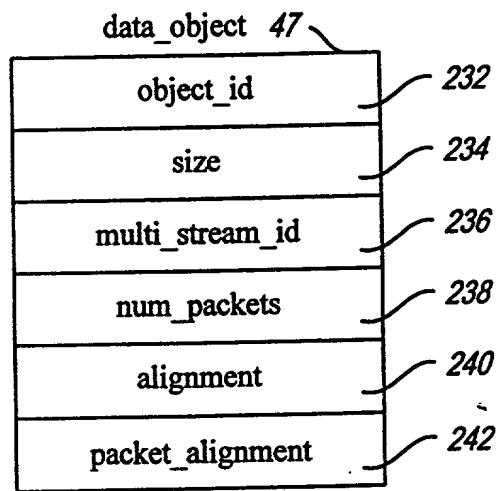
**Fig. 14C**



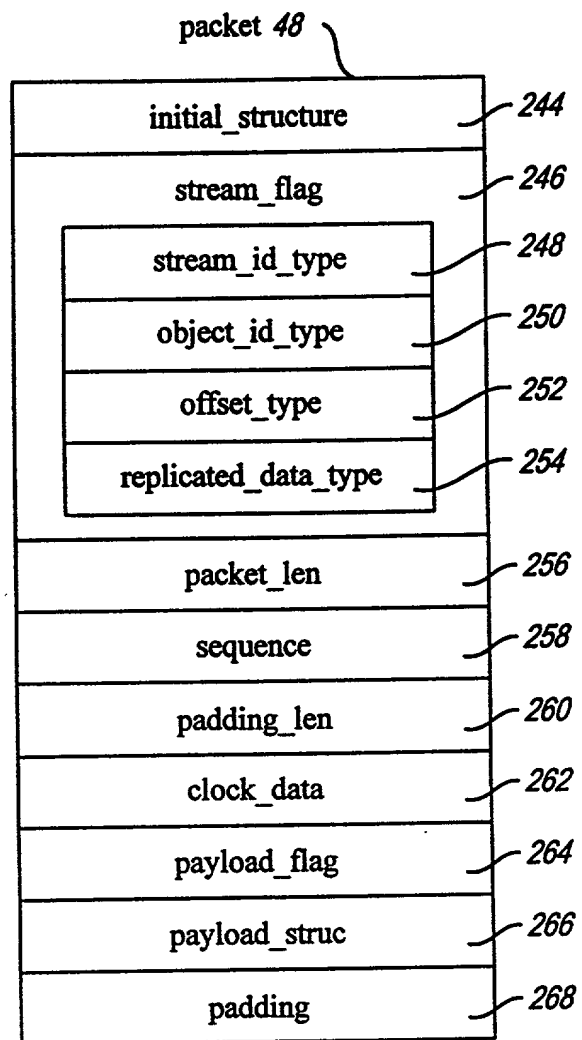
***Fig. 15A***



**Fig. 15B**

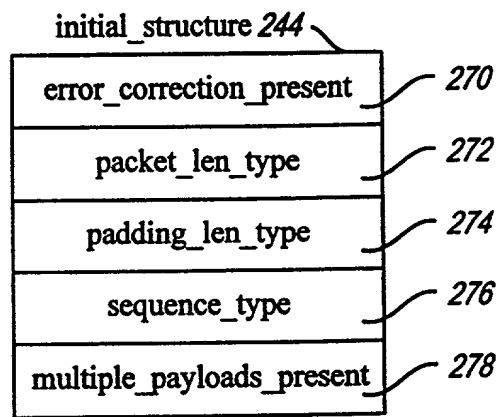


**Fig. 16**

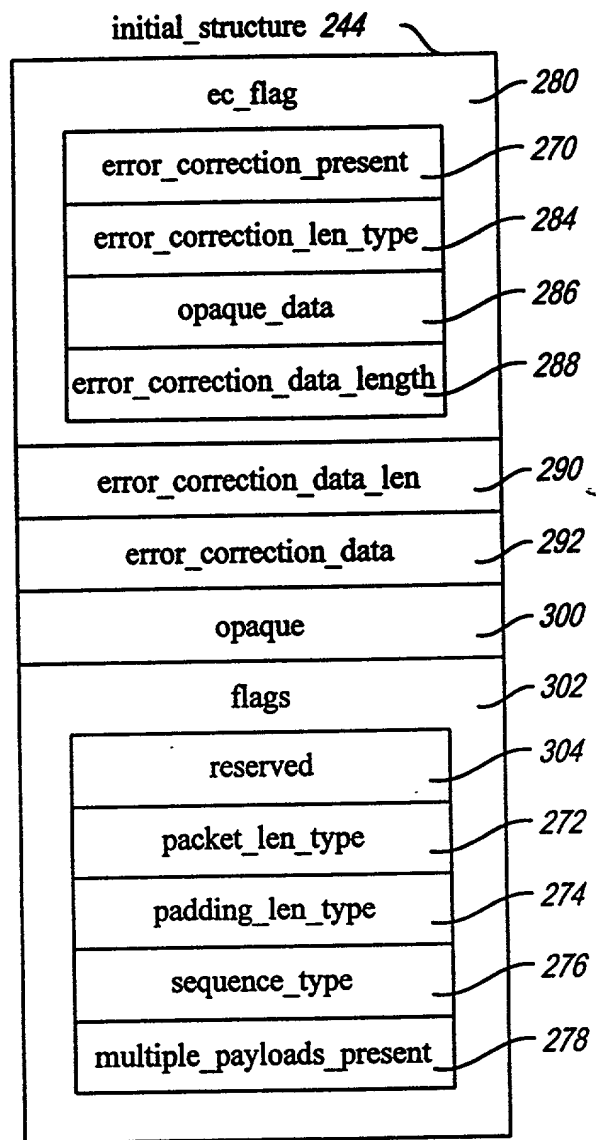


**Fig. 17**

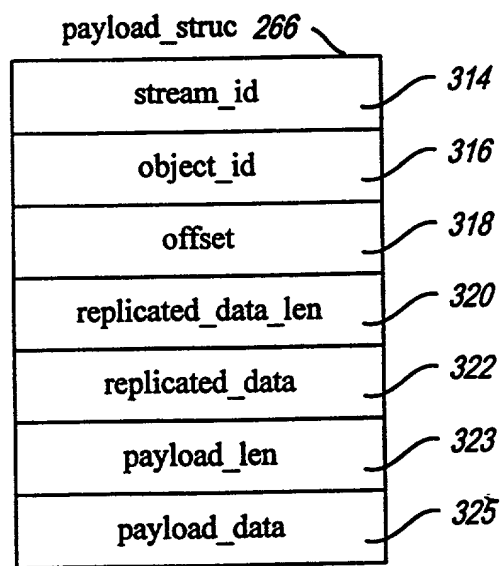




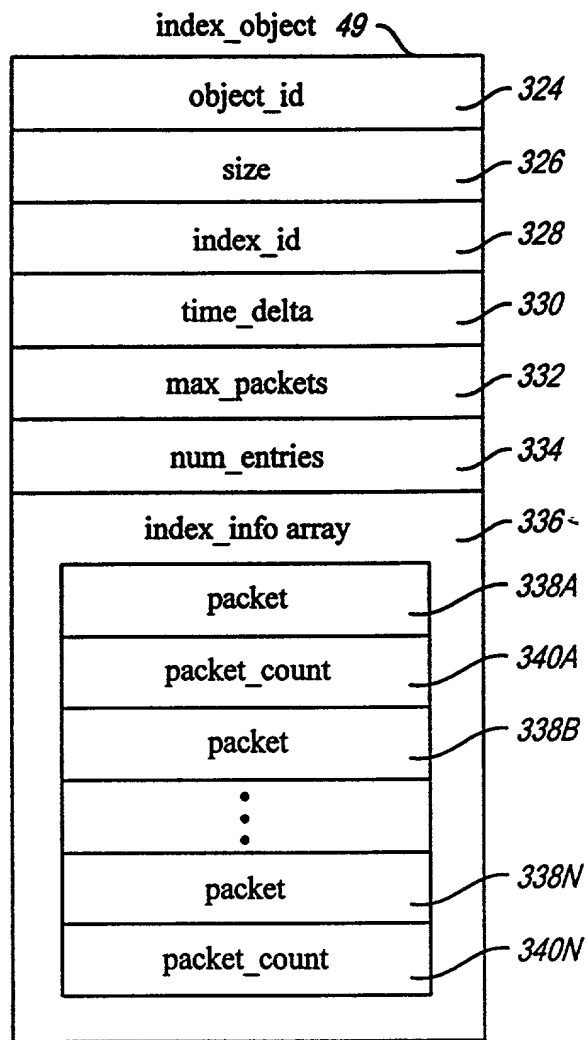
***Fig. 18A***



**Fig. 18B**



**Fig. 19**



**Fig. 20**

## DECLARATION AND POWER OF ATTORNEY

As the below-named inventors, we declare that:

Our residences, post office addresses, and citizenships are as stated below under our names.

We believe we are the original, first, and joint inventors of the invention entitled "ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS," which is described and claimed in the specification and claims of Patent Application No. 08/813,151, which we filed in the United States Patent and Trademark Office on March 7, 1997, for which a patent is sought, and we claim the benefit under Title 35, United States Code, § 119(e) of the provisional application entitled "ACTIVESTREAM FORMAT," filed on March 8, 1996, with the United States Patent and Trademark Office, and assigned Application No. 60/013,029, and the provisional application entitled "ACTIVE STREAM FORMAT FOR HOLDING MULTIPLE MEDIA STREAMS," filed on October 21, 1996, with the United States Patent and Trademark Office, and assigned Application No. 60/028,789.


We have reviewed and understand the contents of the above-entitled specification, including the claims, as amended by any amendment specifically referred to herein (if any).

We acknowledge our duty to disclose information of which we are aware which is material to the examination of this application in accordance with 37 C.F.R. § 1.56(a).

We hereby appoint RICHARD W. SEED, Registration No. 16,557; ROBERT J. BAYNHAM, Registration No. 22,846; EDWARD W. BULCHIS, Registration No. 26,847; GEORGE C. RONDEAU, JR., Registration No. 28,893; DAVID H. DEITS, Registration No. 28,066; WILLIAM O. FERRON, JR., Registration No. 30,633; PAUL T. MEIKLEJOHN, Registration No. 26,569; DAVID J. MAKI, Registration No. 31,392; RICHARD G. SHARKEY, Registration No. 32,629; DAVID V. CARLSON, Registration No. 31,153; MAURICE J. PIRIO, Registration No. 33,273; KARL R. HERMANN, Registration No. 33,507; DAVID D. McMASTERS, Registration No. 33,963; ROBERT IANNUCCI, Registration No. 33,514; JOSHUA KING, Registration No. 35,570; MICHAEL J. DONOHUE, Registration No. 35,859; KEVIN J. CANNING, Registration No. 35,470; CHRISTOPHER J. DALEY-WATSON, Registration No. 34,807; STEVEN D. LAWRENZ, Registration No. 37,376; ROBERT G. WOOLSTON, Registration No. 37,263; CLARENCE T. TEGREENE, Registration No. 37,951; ELLEN M. BIERMAN, Registration No. 38,079; BRYAN A. SANTARELLI, Registration No. 37,560; MICHAEL L. KIKLIS, Registration No. 38,939; CAROL NOTTENBURG, Registration No. 39,317; CRAIG S.

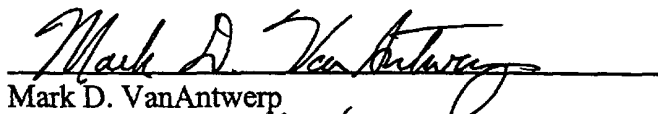
JEPSON, Registration No. 33,517; PAUL T. PARKER, Registration No. 38,264; JOHN C. STEWART, Registration No. 40,188; ROBERT W. BERGSTROM, Registration No. 39,906; DAVID W. PARKER, Registration No. 37,414; ROBERT E. MATES, Registration No. 35,271; and BRIAN G. BODINE, Registration No. P-40,520, comprising the firm of SEED AND BERRY LLP, 6300 Columbia Center, Seattle, Washington 98104-7092, as our attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to Kevin J. Canning at (206) 622-4900 and telecopies to (206) 682-6031.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of any patent issuing from this patent application.



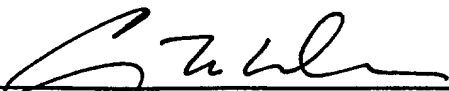
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